



ATTORNEY DOCKET NO.: C01104/70091

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frederick Morgan, et al.
Serial No: 10/045,629
Confirmation. No.: 3677
Filed: October 25, 2001
For: METHODS AND APPARATUS FOR CONTROLLING
ILLUMINATION
Examiner: Philogene, Haissa
Art Unit: 2821

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 22nd day of January, 2004.


Jeanne Clark

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

Sir/Madam,

This is a request under 37 C.F.R. §1.48(a) to add inventors John Warwick and Timothy Holmes in the above-identified application. Pursuant to 37 C.F.R. §1.48(a), accompanying this request are: 1) statements from John Warwick and Timothy Holmes that the error in inventorship occurred without deceptive intention on their part; 2) an oath or declaration signed by the actual inventors; and 3) the written consent of the assignee of the original named inventors and accompanying certification under 37 C.F.R. §3.73(b).

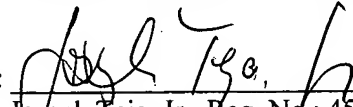
The processing fee of \$130, pursuant to 37 C.F.R. §1.17(i), is enclosed. Please charge any deficiencies to Deposit Account No. 50/2762.

Favorable consideration is requested.

Respectfully submitted,

Frederick Morgan, et al., Applicants

02/02/2004 AWONDAF1 00000002 502762 10045629
01 FC:1460 130.00 DA

By: 
Joseph Teja, Jr., Reg. No.: 45,157
Lowrie, Lando & Anastasi, L.L.P.
One Main Street
Cambridge, Massachusetts 02142

Docket No.: C01104/70091
Date: January 22, 2004

Telephone: (617) 395-7000
The PTO did not receive the following
listed item(s) _____




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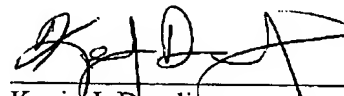
WRITTEN CONSENT OF ASSIGNEE ACCOMPANYING REQUEST TO
CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

Sir/Madam,

Color Kinetics, Incorporated, assignee of the entire right, title, and interest in the above-identified application, hereby gives its written consent to add inventors John Warwick and Timothy Holmes in the above-identified application pursuant to the accompanying request to correct inventorship under 37 C.F.R. §1.48(a).

Respectfully submitted,

Jan 14, 2004
Date


Kevin J. Dowling
Vice President of Strategy and Technology
Color Kinetics, Inc.



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Jeanne W. Clark

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT OF JOHN WARWICK ACCOMPANYING REQUEST TO
CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

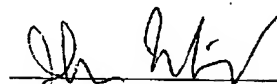
Sir/Madam,

I, John Warwick, state that the error in inventorship in the above-identified application occurred without deceptive intention on my part.

This statement is made with knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that any such willful false statements may jeopardize the validity of this application or any patent issued thereon.

Respectfully submitted,

14 Jan 2004
Date


John Warwick



Serial No.: 10/045,629

Conf. No.: 3677



-1 of 5-

Art Unit: 2821

Attorney Docket No.: C01104/70091

SUPPLEMENTAL DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHODS AND APPARATUS FOR CONTROLLING ILLUMINATION

the specification of which was filed on October 25, 2001, as United States Application No. 10/045,629, bearing attorney docket No. C01104/70091. This application subsequently was amended on September 4, 2003.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

60/243,250
(Application No.)

10/25/00
(filing date)

60/296,377
(Application No.)

06/06/01
(filing date)

60/297,828
(Application No.)

06/13/01
(filing date)

60/290,101
(Application No.)

05/10/01
(filing date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>09/669,121</u> (Application No.)	<u>09/25/00</u> (filing date)	<u>Pending</u> (status-patented, pending, abandoned)
<u>09/425,770</u> (Application No.)	<u>10/22/99</u> (filing date)	<u>patented</u> (status-patented, pending, abandoned)
<u>08/920,156</u> (Application No.)	<u>08/26/97</u> (filing date)	<u>patented</u> (status-patented, pending, abandoned)
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<u>09/213,607</u> (Application No.)	<u>12/17/98</u> (filing date)	<u>pending</u> (status-patented, pending, abandoned)
<u>09/213,189</u> (Application No.)	<u>12/17/98</u> (filing date)	<u>patented</u> (status-patented, pending, abandoned)
<u>09/213,581</u> (Application No.)	<u>12/17/98</u> (filing date)	<u>Pending</u> (status-patented, pending, abandoned)
<u>09/213,540</u> (Application No.)	<u>12/17/98</u> (filing date)	<u>Pending</u> (status-patented, pending, abandoned)
<u>09/333,739</u> (Application No.)	<u>06/15/99</u> (filing date)	<u>Pending</u> (status-patented, pending, abandoned)
<u>09/344,699</u> (Application No.)	<u>06/25/99</u> (filing date)	<u>Pending</u> (status-patented, pending, abandoned)
<u>09/616,214</u> (Application No.)	<u>07/14/00</u> (filing date)	<u>Pending</u> (status-patented, pending, abandoned)

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<u>09/805,590</u> (Application No.)	<u>03/13/01</u> (filing date)	<u>Pending</u> (status-patented, pending, abandoned)
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<u>60/068,792</u> (Application No.)	<u>12/24/97</u> (filing date)	<u>Abandoned</u> (status-patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature

Date

Full name of first inventor: Frederick M. Morgan
Citizenship: U.S.A.
Residence: Quincy, MA
Post Office Address: 157 Butler Road, Quincy, MA 02169

Inventor's signature

Date

Full name of second inventor: Ihor A. Lys
Citizenship: U.S.A.
Residence: Milton, MA
Post Office Address: 1221 Canton Ave., Milton, MA 02186

Inventor's signature

Date

Full name of third inventor: George G. Mueller
Citizenship: U.S.A.
Residence: Boston, MA
Post Office Address: 234 Hanover Street, Boston, MA 02113

Inventor's signature

Date

Full name of fourth inventor: Kevin J. Dowling
Citizenship: U.S.A.
Residence: Westford, MA
Post Office Address: 23 Village View Road, Westford, MA 01886

Art Unit: 2821
Attorney Docket No.: C01104/70091

7. 11

12-29-03
Date

Inventor's signature
Full name of fifth inventor: Timothy Holmes
Citizenship: U.S.A.
Residence: Jacksonville, Florida
Post Office Address: 2825 Sylvan Lane, Jacksonville, Florida 32257

Inventor's signature		Date
Full name of sixth inventor:	John Warwick	
Citizenship:	U.S.A.	
Residence:	Cambridge, MA	
Post Office Address:	20 John F. Kennedy Street, Apt. 1, Cambridge MA 02138	



Serial No.: 10/045,629
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Attorney Docket No.: C01104/70091

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(Application No.)	(filing date)

<u>60/290,101</u>	<u>05/10/01</u>
(Application No.)	(filing date)

702752.1

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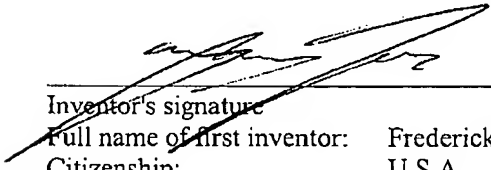
-3 of 3-

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Inventor's signature


Full name of first inventor: Frederick M. Morgan

Citizenship: U.S.A.

Residence: Quincy, MA

Post Office Address: 157 Butler Road, Quincy, MA 02169

Jan 14, 2004
Date


Inventor's signature

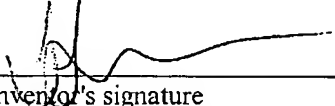
Full name of second inventor: Thor A. Lys

Citizenship: U.S.A.

Residence: Milton, MA

Post Office Address: 1221 Canton Ave., Milton, MA 02186

Jan 14, 2004
Date


Inventor's signature

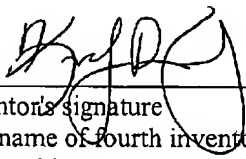
Full name of third inventor: George G. Mueller

Citizenship: U.S.A.

Residence: Boston, MA

Post Office Address: 234 Hanover Street, Boston, MA 02113

Jan 14, 2004
Date


Inventor's signature

Full name of fourth inventor: Kevin J. Dowling

Citizenship: U.S.A.

Residence: Westford, MA

Post Office Address: 23 Village View Road, Westford, MA 01886

Jan 14, 2004
Date

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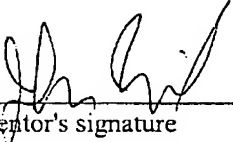
-5 of 5-

Art Unit: 2821
Attorney Docket No.: C01104/70091

Inventor's signature

Date

Full name of fifth inventor: Timothy Holmes
Citizenship: U.S.A.
Residence: Jacksonville, Florida
Post Office Address: 2825 Sylvan Lane, Jacksonville, Florida 32257



Inventor's signature

14 Jan 2004
Date

Full name of sixth inventor: John Warwick
Citizenship: U.S.A.
Residence: Cambridge, MA
Post Office Address: 20 John F. Kennedy Street, Apt. 1, Cambridge MA 02138